UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

: Chapter 11

In re :

Case No. 08-13555 (SCC)

LEHMAN BROTHERS HOLDINGS INC., et al.,

(Jointly Administered)

Debtors.

Ref. Docket No. 60293,

60298, 60305, 60445, 60450,

60457, 60458, 60459, 60462-

60472, 60475-60476

AFFIDAVIT OF SERVICE

STATE OF CONNECTICUT)

) ss.:

COUNTY OF MIDDLESEX)

ANGHARAD BOWDLER, being duly sworn, deposes and says:

- 1. I am employed as a Director by Epiq Corporate Restructuring, LLC, with their principal office located at 777 Third Avenue, New York, NY 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On April 16, 2020, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated April 16, 2020, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

<u>/s/ Angharad Bowdler</u> Angharad Bowdler

Sworn to before me this 17th day of April, 2020

/s/ Amy E. Lewis

Notary Public, State of Connecticut

No. 100624

Commission Expires August 31, 2022

EXHIBIT A

UNITED STAPES 135555 TIMEY COOR 60544 Filed 04/20/20 Entered 04/20/20 14:58:53 Main Document Pg 3 of 6

In re

Chapter 11 Case No.

LEHMAN BROTHERS HOLDINGS INC., et al.,

08-13555 (SCC)

Debtors.

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or othewise being assigned the claim.

To:

QUINTESSENCE FUND L.P.
TRANSFEROR: DEUTSCHE BANK AG
C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE
1177 AVENUE OF THE AMERICAS, 9TH FLOOR
NEW YORK, NY 10036

Please note that your claim # 27141-18 in the above referenced case and in the amount of \$6,650,987.44 allowed at \$2,017,585.37 has been transferred (unless previously expunged by court order)

BAR(23) MAILID *** 000163691085 ***

LBH TRFNTC (MERGE2, TXNUM2) 4000192836



BARCLAYS BANK PLC TRANSFEROR: QUINTESSENCE FUND L.P. ATTN: SALVATORE RUSSO 125 S. WEST ST, 6TH FL WILMINGTON, DE 19801 BARCLAYS BANK PLC DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10019

No action is required if you do not object to the transfer of your claim. However

IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST,
WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT Southern District of New York One Bowling Green New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 60458 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 04/16/2020 Vito Genna, Clerk of Court

/s/Andrea Speelman

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 16, 2020.

EXHIBIT B

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Claim Name Address Information		
BARCLAYS BANK PLC	TRANSFEROR: QUINTESSENCE FUND L.P., ATTN: SALVATORE RUSSO, 125 S. WEST ST, 6TH	
	FL, WILMINGTON, DE 19801	
BARCLAYS BANK PLC	DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019	
BARCLAYS BANK PLC		
DARCLAIS DANK FLC	TRANSFEROR: QVT FUND IV LP, ATTN: SALVATORE RUSSO, 125 S. WEST ST, 6TH FL, WILMINGTON, DE 19801	
BARCLAYS BANK PLC	TRANSFEROR: QVT FUND LP, ATTN: SALVATORE RUSSO, 125 S. WEST ST, 6TH FL,	
2.1.02.1.0 2.1.0 1.20	WILMINGTON, DE 19801	
BARCLAYS BANK PLC	TRANSFEROR: QVT FUND LP, ATTN: SALVATORE RUSSO, 125 S. WEST ST, 6TH FL,	
	WILMINGTON, DE 19801	
BARCLAYS BANK PLC	TRANSFEROR: QVT FUND V LP, ATTN: SALVATORE RUSSO, 125 S. WEST ST, 6TH FL,	
	WILMINGTON, DE 19801	
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON	
	AVENUE, 30TH FLOOR, NEW YORK, NY 10022	
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON	
	KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022	
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: NOTENSTEIN PRIVATBANK AG, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE,	
	1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM	
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL ADFERO BPI FUND, LTD., ATTN: MICHELLE LATZONI, 200	
	WEST STREET, NEW YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: TURNPIKE LIMITED, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW	
	YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: TURNPIKE LIMITED, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW	
	YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: SILVER POINT CAPITAL FUND, LP, ATTN: MICHELLE LATZONI, 200 WEST	
	STREET, NEW YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: SILVER POINT CAPITAL FUND, LP, ATTN: MICHELLE LATZONI, 200 WEST	
	STREET, NEW YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: SILVER POINT CAPITAL OFFSHORE MASTER FUND, LP, ATTN: MICHELLE	
	LATZONI, 200 WEST STREET, NEW YORK, NY 10282	
HONGKONG AND SHANGHAI BANKING CORP LTD	BRANCH; TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH, ATTN:	
SINGAPORE	RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG	
HUNG, WAN KAI	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORP LTD SINGAPORE, BLK G, 2/F, EAST	
	SUN INDUSTRIAL CENTRE, NO. 16 SHING YIP ST, KWUN TONG, KOWLOON HONG KONG	
QUINTESSENCE FUND L.P. QUINTESSENCE FUND L.P.	TRANSFEROR: DEUTSCHE BANK AG, C/O OVT ASSOCIATES GP LLC; ATTN: JULIAN SALE,	
	1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036	
	TRANSFEROR: DEUTSCHE BANK AG, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE,	
QUINTESSENCE FOND H.I.	1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036	
QUINTESSENCE FUND LP	C/O QVT FINANCIAL LP, ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH	
ZOTUTHOOPHOD LOND HI	FLOOR, NEW YORK, NY 10036	
QUINTESSENCE FUND LP	LOVELLS LLP, 875 3RD AVE LBBY 1, NEW YORK, NY 10022-7222	
QUINTESSENCE FOND II	HOVEHES HEL, 073 SKD AVE HEBT I, NEW TOKK, NI 10022 7222	
QUINTESSENCE FUND LP	TRANSFEROR: CARILLON LTD., C/O NICK BRUMM, QVT FINANCIAL LP, 1177 AVENUE OF	
ZOTHINGONION LOWN HI	THE AMERICAS, 9TH FL, NEW YORK, NY 10036	
OUTNITESSENCE FIND ID		
QUINTESSENCE FUND LP	C/O QVT FINANCIAL LP, ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH	
	FLOOR, NEW YORK, NY 10036	
QUINTESSENCE FUND LP	LOVELLS LLP, 875 3RD AVE LBBY 1, NEW YORK, NY 10022-7222	
OUTNITE COUNCE FIND ID	TRANSFERDAD. CARTILON ITTO CAN NICK RELIGIONAL COMPRESSIONAL ID 1177 AVENUE OF	
QUINTESSENCE FUND LP	TRANSFEROR: CARILLON LTD., C/O NICK BRUMM, QVT FINANCIAL LP, 1177 AVENUE OF	
	THE AMERICAS, 9TH FL, NEW YORK, NY 10036	
QVT FUND IV LP	TRANSFEROR: DEUTSCHE BANK AG, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE,	
	1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036	
QVT FUND IV LP	TRANSFEROR: QVT FUND LP, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE, 1177	
	AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036	

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Claim Name	Address Information
QVT FUND IV LP	TRANSFEROR: DEUTSCHE BANK AG, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036
QVT FUND IV LP	TRANSFEROR: QVT FUND LP, C/O QVT ASSOCIATES GP LLC; ATTN JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036
QVT FUND LP	C/O QVT FINANCIAL LP, ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036
QVT FUND LP	ROBIN E. KELLER, ESQ., LOVELLS LLP, 590 MADISON AVE, 7TH FLOOR, NEW YORK, NY 10022
QVT FUND LP	C/O QVT FINANCIAL LP, ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH
QVT FUND LP	LOVELLS LLP, ATTN: ROBIN E. KELLER, ESQ., 590 MADISON AVE, 7TH FLOOR, NEW
QVT FUND LP	C/O QVT FINANCIAL LP, ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH
QVT FUND LP	130 ELGIN AVENUE, GEORGE TOWN, GRAND CAYMAN KY1-9005 CAYMAN ISLANDS
QVT FUND V LP	TRANSFEROR: DEUTSCHE BANK AG, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE,
QVT FUND V LP	TRANSFEROR: QVT FUND LP, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE, 1177
QVT FUND V LP	TRANSFEROR: DEUTSCHE BANK AG, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE,
QVT FUND V LP	TRANSFEROR: QVT FUND LP, C/O QVT ASSOCIATES GP LLC; ATTN: JULIAN SALE, 1177 AVENUE OF THE AMERICAS, 9TH FLOOR, NEW YORK, NY 10036

Total Creditor Count 39